



Attorney's Docket No.: 09857-031001

# 3/3/04  
17/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.                      Art Unit : 3627  
Serial No. : 09/401,892                                  Examiner : B. Jaketic  
Filed : September 23, 1999  
Title : LOCKED/CROSSED QUOTE HANDLING

**MAIL STOP APPEAL BRIEF – PATENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO THE NOTICE OF APPEAL DATED OCTOBER 27, 2003

Please amend the above-identified application pursuant to 37 C.F.R. 1.116(c), as follows:

**RECEIVED**  
MAR - 2 2004  
**GROUP 3600**

Entry Approved  
*[Signature]*  
4/22/04

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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